



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Waggle et al.

Serial No.:

Filed:

Title: Composition for and Method of
Reducing Low Density Lipoprotein
Cholesterol Concentration

Group Art Unit:
1651

Examiner:
Ware, D.

Attorney Docket No.: SP-1159C.2

Commissioner for Patents
Washington, D.C. 20231

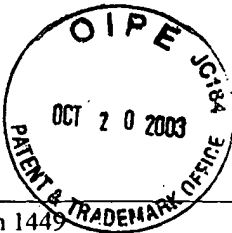
INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; or before the mailing of a first office action after the filing of a request for continued examination; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
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☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.



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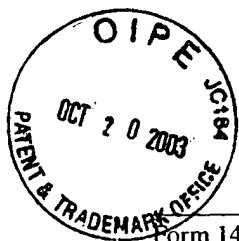
Sheet 1 of 8

Application Number:
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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Pub. Date	Name	Class	Subclass
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		5,352,384	10/04/94	Shen	252	398
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		5,637,562	04/20/95 PCT	Shen et al.	514	2
		3,870,805	03/11/75	Hayes et al.	426	148
		4,428,876	01/31/84	Iwamura	260	123.5
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		6,113,972	09/05/00	Corliss et al.	426	613

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		JP 8214787A	08/27/96	Japan		
		EPO 647408A1	04/12/95	Europe		
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		JP 62-036163A	02/17/87	Japan		
		WO 97/07811	03/06/97	World		
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OTHER DOCUMENTS

Examiner Initials	Cite No.	AUTHOR, Title, Book/Magazine/Journal/Article/Symposium/Catalog, Volume, Issue, Pages, Date
	10	<u>Determination of isoflavones in soybean flours, protein concentrates, and isolates</u> , ELDRIDGE , <i>J. Agric. Food Chem.</i> , Vol. 30, pp. 353-55 (1982)
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	52	<u>Soy Isoflavones Enhance Coronary Vascular Reactivity in Atherosclerotic Female Macaques</u> , HONORE, WILLIAMS, ANTHONY AND CLARKSON, <i>Fertil. Steril.</i> , Vol. 67, No. 1, pp. 148-54 (January 1997)
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	61	<u>Turnover of Very Low-Density Lipoprotein-Apoprotein B is Increased by Substitution of Soybean Protein for Meat and Dairy Protein in the Diets of Hypercholesterolemic Men</u> , HUFF et al., <i>Am. J. of Clin. Nutr.</i> , Vol. 39, pp.888-97 (June 1984)
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	66	<u>Isoflavones and Hypercholesterolemia in Rats</u> , SHARMA, <i>Lipids</i> , Vol. 14, pp. 535-40, (1978)

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	68	<u>Effects of Postmenopausal Estrogen Replacement on the Concentrations and Metabolism of Plasma Lipoproteins</u> ; WALSH et al.; <i>The New England Journal of Medicine</i> ; Vol. 325, No. 17, pp. 1196-1204; (October 1991)
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	76	<u>World Oilseeds – Chemistry, Technology, and Utilization</u> ; SALUNKHE et al.; <i>Van Nostrand Reinhold</i> ; pp. 10-13.
	77	<u>The Analysis of Fats and Oils</u> ; MEHLENBACHER; <i>The Garrard Press</i> ; pp. 589-592.
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